

Integrality and the Complementary Module

Unit 20

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Overview

- 1 Integrality
- 2 Valuation rings and integrality
- 3 The trace function
- 4 Dual bases
- 5 The structure of valuation rings
- 6 Local integral bases
- 7 The complementary module

Definition 1 (Modules)

Let R be a (commutative unital) ring. An abelian group $(M, +)$ is said to be an **R -module** w.r.t an operation $\cdot : R \times M \rightarrow M$ such that

- 1 $r \cdot (m_1 + m_2) = r \cdot m_1 + r \cdot m_2$
- 2 $(r_1 + r_2) \cdot m = r_1 \cdot m + r_2 \cdot m$
- 3 $(r_1 r_2) \cdot m = r_1 \cdot (r_2 \cdot m)$
- 4 $1 \cdot m = m$

Remarks.

- When R is a field, an R -module is simply an R -vector space.
- Any ideal M of R is an R -module.
- Any R -module that is contained in R is an ideal of R .
- \mathbb{Z} -modules are precisely abelian groups.

Definition 2

An R -module M is **finitely generated** if $\exists m_1, \dots, m_n \in M$ s.t.

$$M = Rm_1 + \dots + Rm_n.$$

Remark When R is a field, hence M an R -vector space, this means M is finite dimensional over R . A generating set is a spanning set (but not necessarily a basis).

Separable extensions

Throughout this unit, we let F/L be a finite extension of E/K . Recall that this means that F/E is finite, and we proved that this is equivalent to L/K being finite.

We will further assume that F/E is separable. As we prove below, this implies that L/K is separable.

Lemma 3

Let F/L be a finite extension of E/K . If F/E is separable then L/K is separable.

Proof.

Take $\alpha \in L$ and $f(T) \in K[T]$ its minimal polynomial over K . Since K is algebraically closed in E , as we proved, $f(T)$ is also irreducible over E .

As $\alpha \in F$ and F/E is separable we have that $f(T)$ is separable. \square

Definition 4 (Integral elements)

Let R be a domain with field of fractions K . Let L/K be a field extension. We say that $x \in L$ is **integral** over R if x is the root of a monic polynomial $f(T) \in R[T]$.

Note that

x is integral over $R \iff R[x]$ is a finitely generated R -module.

Indeed, if $\deg f = d$ then

$$R[x] = R + xR + \cdots + x^{d-1}R. \quad (1)$$

On the other hand, if

$$R[x] = f_1(x)R + \cdots + f_e(x)R \quad f_i(x) \in R[x]$$

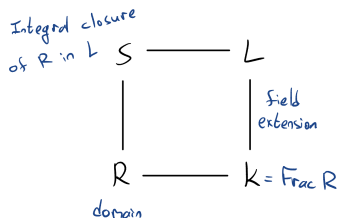
then we get an equation as in (1) and so x^d can be expressed as an R -linear relation between $1, x, \dots, x^{d-1}$.

It is a (not so trivial) fact that x is integral over R iff $R[x]$ is contained in a ring C that is finitely generated R -module.

Integral closure

Definition 5

Let R be a domain with field of fractions K . Let L/K be a field extension. The **integral closure** of R in L is the set of elements in L that are integral over R .



Claim 6

The integral closure of R in L is a subring of L .

The proof readily follows by the nontrivial fact mentioned above.

Definition 7

A domain R is said to be **integrally closed** if the integral closure of R in its field of fractions K is equal to R .

Lemma 8

Let R be an integrally closed domain with field of fractions K . Let L/K be an algebraic field extension. Take $x \in L$ integral over R , and let $f(T) \in K[T]$ be its (monic) minimal polynomial over K . Then,

$$f(T) \in R[T].$$

Integral ring extensions

Proof.

Note that all K -conjugates of x are also integral over R .

Recall that the coefficients of $f(T)$ are elementary symmetric polynomials applied to the roots and, in particular, are all integral over R .

However, the coefficients are also in K and thus, as R is integrally closed, all coefficients are in R . \square

We leave the following lemma as an exercise (we actually proved this in a specific setting).

Lemma 9

Let K be the field of fractions of a domain R . Let x be an algebraic element over K . Then, $\exists 0 \neq a \in R$ s.t. ax is integral over R .

Overview

- 1 Integrality
- 2 Valuation rings and integrality**
- 3 The trace function
- 4 Dual bases
- 5 The structure of valuation rings
- 6 Local integral bases
- 7 The complementary module

Valuation rings are integrally closed

Lemma 10

Every valuation ring R is integrally closed.

Proof.

Let $K = \text{Frac } R$ and let $0 \neq x \in K$ integral over R . We wish to prove that $x \in R$.

There are $a_0, \dots, a_{n-1} \in R$ s.t.

$$x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0.$$

Dividing by x^{n-1} and rearranging, we get

$$x = -a_{n-1} - a_{n-2}(x^{-1}) - \dots - a_0(x^{-1})^{n-1}.$$

If $x \in R$ we are done. Otherwise, $x^{-1} \in R$ and by the above equation also is x . □

Valuation rings and integral closures

Theorem 11

Let R be a subdomain of a field L . Then, the integral closure of R in L is the intersection of all valuation rings of L that contain R .

Proof. (addendum)

In one direction, take $x \in L$ that is integral over R . Let $\mathcal{O} \subseteq L$ be a valuation ring of L that contains R .

Since x is integral over R we have that x is integral over \mathcal{O} . But recall that

$$\text{Frac } \mathcal{O} = L.$$

As we proved in Lemma 10, \mathcal{O} is integrally closed, and so $x \in \mathcal{O}$.

Valuation rings and integral closures

Proof.

As for the other direction, take $x \in L$ that is not integral over R . We will “cook up” a valuation ring \mathcal{O} of L that contains R yet does not contain x .

Let $S = R[x^{-1}]$. Note that $x \notin S$. Indeed, otherwise

$$x = a_0 + a_1(x^{-1}) + \cdots + a_n(x^{-1})^n,$$

where $a_0, \dots, a_n \in R$ and so

$$x^{n+1} - a_0x^n - \cdots - a_n = 0,$$

implying that x is integral over R .

Valuation rings and integral closures

Proof.

Since $x \notin S = R[x^{-1}]$ we have that x^{-1} is not a unit of S and so there exists a maximal ideal \mathfrak{m} of S that contains x^{-1} .

Consider the field $K = S/\mathfrak{m}$. As we saw in the recitation, the projection $S \rightarrow K$ can be extended to a place φ of L . Now,

$$x^{-1} \in \mathfrak{m} \implies \varphi(x^{-1}) = 0 \implies \varphi(x) = \infty.$$

Thus, the valuation ring \mathcal{O} that corresponds to φ does not contain x .

To conclude the proof note that $R \subseteq \mathcal{O}$ (since $S = R[x^{-1}] \subseteq \mathcal{O}$). □

Overview

- 1 Integrality
- 2 Valuation rings and integrality
- 3 The trace function**
- 4 Dual bases
- 5 The structure of valuation rings
- 6 Local integral bases
- 7 The complementary module

The trace function

Let L/K be a finite field extension. Given $a \in L$ note that the map

$$\begin{aligned} m_a : L &\rightarrow L \\ x &\mapsto ax \end{aligned}$$

is K -linear. Indeed, for $x, y \in L$

$$m_a(x + y) = a(x + y) = ax + ay = m_a(x) + m_a(y).$$

Moreover, for $k \in K$,

$$m_a(kx) = a(kx) = k(ax) = km_a(x).$$

Let M_a denote the matrix corresponding to m_a with respect to a fixed, arbitrary, basis of L as a K -vector space. We define the **trace** map

$$\begin{aligned} \text{Tr}_{L/K} : L &\rightarrow K \\ a &\mapsto \text{trace}(M_a). \end{aligned}$$

The trace function

Fix $a \in L$ and denote the minimal polynomial of a over K by

$$f(x) = x^n + c_{n-1}x^{n-1} + \cdots + c_1x + c_0 \in K[x].$$

Then, choosing the basis $1, a, a^2, \dots, a^{n-1}$ of $K(a)$ over K , we get

$$M_a = \begin{pmatrix} 0 & 0 & & 0 & -c_0 \\ 1 & 0 & & 0 & -c_1 \\ 0 & 1 & & \vdots & \vdots \\ \vdots & \vdots & \ddots & 0 & \vdots \\ 0 & 0 & & 1 & -c_{n-1} \end{pmatrix}$$

and so

$$\text{Tr}_{K(a)/K}(a) = -c_{n-1}.$$

The trace function

$$\mathrm{Tr}_{L/K}(a) = [L : K(a)] \cdot \mathrm{Tr}_{K(a)/K}(a).$$

Corollary 12

$$L/K \text{ not separable} \implies \mathrm{Tr}_{L/K} = 0.$$

Proof.

Fix $a \in L$. At least one of $L/K(a)$, $K(a)/K$ is not separable.

In the first case, for some $e \geq 1$ we have that

$$p^e = [L : K(a)]_i \mid [L : K(a)].$$

Assume then that $K(a)/K$ is not separable. Then, the minimal polynomial $f(x)$ of a over K is of degree p^m for some $m \geq 1$, and has the form $h(x^p)$, and so the coefficient of x^{p^m-1} is 0. Thus,

$$\mathrm{Tr}_{K(a)/K}(a) = 0.$$

The trace function

Theorem 13

Let L/K be a finite separable extension. Let \widehat{L} be the normal closure of L/K . Let S be the set of K -embeddings of L into \widehat{L} . Then,

$$\mathrm{Tr}_{L/K}(a) = \sum_{\sigma \in S} \sigma(a).$$

Proof.

Let

$$f(x) = x^n + c_{n-1}x^{n-1} + \cdots + c_1x + c_0 \in K[x]$$

be the minimal polynomial of a over K . One can show that

$$f(x) = \chi_x(M_a) \triangleq \det(xI - M_a),$$

where M_a is the matrix corresponding to multiplication by a in $K(a)$.

The trace function

Proof.

Denote the distinct K -conjugates of a by $a = a_1, \dots, a_m \in \widehat{L}$. Then, since a is separable over K ,

$$\prod_{i=1}^m (x - a_i) = f(x) = \det(xI - M_a).$$

By definition,

$$\mathrm{Tr}_{K(a)/K}(a) = \mathrm{trace}(M_a).$$

In general, $-\mathrm{trace}(M_a)$ is the coefficient of x^{n-1} in $\det(xI - M_a)$. So,

$$\mathrm{Tr}_{K(a)/K}(a) = \sum_{i=1}^m a_i.$$

Equation (2) then implies that

$$\mathrm{Tr}_{L/K}(a) = [L : K(a)] \cdot \sum_{i=1}^m a_i. \quad (3)$$



The trace function

Proof.

Recall that

$$S = \left\{ \sigma : L \hookrightarrow \widehat{L} : \sigma|_K = \text{id}_K \right\}.$$

Note that $\sigma(a) = a_i$ for some $i = i(\sigma) \in [m]$. Let

$$S_i = \{ \sigma \in S : \sigma(a) = a_i \}.$$

It is known from Galois Theory that $|S_i| = |S_j|$ for all i, j . Thus,

$$|S_i| = \frac{|S|}{m} = \frac{[L : K]_s}{[K(a) : K]} = \frac{[L : K]}{[K(a) : K]} = [L : K(a)].$$

Therefore,

$$\sum_{\sigma \in S} \sigma(a) = \sum_{i=1}^m \sum_{\sigma \in S_i} \sigma(a) = [L : K(a)] \cdot \sum_{i=1}^m a_i.$$

The proof then follows by Equation (3).

The trace function

The proof of the following result is left as an exercise.

Lemma 14

Let $L'/L/K$ be a tower of finite field extensions. Then,

$$\mathrm{Tr}_{L'/K} = \mathrm{Tr}_{L/K} \circ \mathrm{Tr}_{L'/L}$$

We turn to prove

Theorem 15

Let L/K be a finite separable extension. Then, $\mathrm{Tr}_{L/K} \neq 0$.

Proof.

First note we may assume that L/K is Galois. Indeed, consider the Galois closure \widehat{L} of L over K . By Lemma 14,

$$\mathrm{Tr}_{\widehat{L}/K} \neq 0 \quad \implies \quad \mathrm{Tr}_{L/K} \neq 0.$$

The trace function

Proof.

Write $L = K(\alpha)$ and let $f(x) \in K[x]$ be the minimal polynomial of α over K . Consider the basis $1, \alpha, \dots, \alpha^{n-1}$ of L over K .

Define the K -bilinear map

$$(x, y) \mapsto \text{Tr}_{L/K}(xy),$$

and let M be the $n \times n$ matrix over L s.t.

$$M_{i,j} = \text{Tr}_{L/K}(\alpha^{i+j}).$$

We will show that $\det M \neq 0$ which would imply that $\text{Tr}_{L/K} \neq 0$.

To this end, denote $G = \text{Gal}(L/K)$. By Theorem 13,

$$\text{Tr}_{L/K}(\alpha^{i+j}) = \sum_{\sigma \in G} \sigma(\alpha^{i+j}) = \sum_{\sigma \in G} \sigma(\alpha)^{i+j}.$$

The trace function

Proof.

So,

$$M_{i,j} = \sum_{\sigma \in G} \sigma(\alpha)^{i+j}.$$

Define the $n \times n$ matrix N over L by

$$N_{i,\sigma} = \sigma(\alpha^i).$$

Indeed, $[L : K] = |\text{Gal}(L/K)| = |G|$. Then,

$$(NN^T)_{i,j} = \sum_{\sigma \in G} N_{i,\sigma} N_{j,\sigma} = \sum_{\sigma \in G} \sigma(\alpha^i) \sigma(\alpha^j) = \sum_{\sigma \in G} \sigma(\alpha)^{i+j},$$

and so $M = NN^T$. Thus,

$$\det M = (\det N)^2.$$

The trace function

Proof.

We defined

$$N_{i,\sigma} = \sigma(\alpha^i).$$

and proved that

$$\det M = (\det N)^2.$$

We wish to show $\det M \neq 0$ and it therefore suffices to show that $\det N \neq 0$. But N is a Vandermonde matrix and so (under some arbitrary order on G),

$$\det N = \prod_{\sigma < \tau} (\sigma(\alpha) - \tau(\alpha)).$$

Since $L = K(\alpha)$, for $\sigma \neq \tau$ we have that $\sigma(\alpha) \neq \tau(\alpha)$. Therefore, $\det N \neq 0$. □

Overview

- 1 Integrality
- 2 Valuation rings and integrality
- 3 The trace function
- 4 Dual bases**
- 5 The structure of valuation rings
- 6 Local integral bases
- 7 The complementary module

Dual bases

Let L/K be finite and separable. When considering L as a K -vector space we may consider the dual space of L over K that is given by

$$L^* = \text{hom}_K(L, K)$$

that consists of all K -linear maps from L to K .

Every $x \in L$ induces an element $\varphi_x \in L^*$ that is given by

$$\varphi_x(y) = \text{Tr}_{L/K}(xy).$$

This map is indeed a K -linear functional as it is composition of multiplication by x and the trace function.

For different x, x' we get distinct maps $\varphi_x, \varphi_{x'}$ for if $\varphi_x = \varphi_{x'}$ then

$$\forall y \in L \quad \text{Tr}_{L/K}(xy) = \text{Tr}_{L/K}(x'y) \quad \implies \quad \forall y \in L \quad \text{Tr}_{L/K}((x-x')y) = 0.$$

Theorem 15 then implies $x = x'$.

Dual bases

Recall

$$\varphi_x(y) = \text{Tr}_{L/K}(xy).$$

Consider the map

$$\begin{aligned}\psi : L &\rightarrow L^* \\ x &\mapsto \varphi_x\end{aligned}$$

This is a K -vector space monomorphism since, e.g.,

$$\text{Tr}_{L/K}((x + x')y) = \text{Tr}_{L/K}(xy) + \text{Tr}_{L/K}(x'y).$$

Recall from linear algebra that

$$\dim_K L = \dim_K L^* < \infty,$$

and so, as ψ is one to one, we have that ψ is a K -vector space isomorphism from L to L^* .

Moreover, for every basis z_1, \dots, z_n of L over K there is a **dual basis** z_1^*, \dots, z_n^* of L^* over K that is characterized by

$$\text{Tr}_{L/K}(z_i^* z_j) = \delta_{i,j}.$$

Overview

- 1 Integrality
- 2 Valuation rings and integrality
- 3 The trace function
- 4 Dual bases
- 5 The structure of valuation rings**
- 6 Local integral bases
- 7 The complementary module

Trace and integral elements

Claim 16

Let R be a subdomain of L with field of fractions $K \subseteq L$. Assume that R is integrally closed. Then, $\forall x \in L$ that is integral over R , we have that

$$\text{Tr}_{L/K}(x) \in R.$$

$$\begin{array}{ccc} S & & L \\ \downarrow \text{Tr}_{L/K} & & \downarrow \\ R & & K \end{array}$$

Proof.

$\sigma(x)$ is integral over R for every embedding $\sigma : L \hookrightarrow \widehat{L}$ over K . Thus, by Theorem 13, $\text{Tr}_{L/K}(x)$ is also integral over R . The proof follows since R is integrally closed and $\text{Tr}_{L/K}(x) \in K$. □

Integral closure of a valuation ring

Definition 17

Let F/L is a finite separable extension of E/K , and let \mathfrak{p} be a prime divisor of E/K . We denote by $\mathcal{O}'_{\mathfrak{p}}$ the integral closure of $\mathcal{O}_{\mathfrak{p}}$ in F .

Recall

Theorem (Theorem 11)

Let $R (\mathcal{O}_{\mathfrak{p}})$ be a subdomain of a field $L (F)$. Then, the integral closure of R in $L (F)$ is the intersection of all valuation rings of $L (F)$ that contain $R (\mathcal{O}_{\mathfrak{p}})$.

By red-Theorem 11, $\mathcal{O}'_{\mathfrak{p}}$ is precisely the intersection of all valuation rings of F that contain $\mathcal{O}_{\mathfrak{p}}$. Thus,

$$\mathcal{O}'_{\mathfrak{p}} = \bigcap_{\mathfrak{P}/\mathfrak{p}} \mathcal{O}_{\mathfrak{P}}.$$

Valuation rings and their integral closures are PID

Theorem 18

With the notation above, $\mathcal{O}_{\mathfrak{p}}$ and $\mathcal{O}'_{\mathfrak{p}}$ are both PID.

Proof. (addendum)

We start by considering $\mathcal{O}'_{\mathfrak{p}}$ which recall is equal to $\bigcap_{\mathfrak{p}/\mathfrak{p}} \mathcal{O}_{\mathfrak{p}}$. Take $0 \neq J$ an ideal of $\mathcal{O}'_{\mathfrak{p}}$. For every $\mathfrak{p}/\mathfrak{p}$ let $x_{\mathfrak{p}} \in J$ be an element with “least” valuation

$$k_{\mathfrak{p}} \triangleq v_{\mathfrak{p}}(x_{\mathfrak{p}}) = \min\{v_{\mathfrak{p}}(x) : x \in J\}.$$

Since $J \subseteq \mathcal{O}_{\mathfrak{p}}$ we have that $v_{\mathfrak{p}}(x) \geq 0$ for all $x \in J$ and so the minimum is well-defined.

Note that

$$\forall \mathfrak{p}'/\mathfrak{p} \quad v_{\mathfrak{p}'}(x_{\mathfrak{p}}) \geq 0$$

as $x_{\mathfrak{p}} \in J \subseteq \mathcal{O}'_{\mathfrak{p}} \subseteq \mathcal{O}_{\mathfrak{p}'}$.

Valuation rings and their integral closures are PID

Proof.

Fix $\mathfrak{P}/\mathfrak{p}$. By the WAT $\exists z_{\mathfrak{P}} \in F$ s.t.

$$\begin{aligned}v_{\mathfrak{P}}(z_{\mathfrak{P}}) &= 0, \\v_{\mathfrak{P}'}(z_{\mathfrak{P}}) &> k_{\mathfrak{P}'} \geq 0 \quad \forall \mathfrak{P}' \neq \mathfrak{P}.\end{aligned}$$

Thus, $z_{\mathfrak{P}} \in \mathcal{O}'_{\mathfrak{p}}$ for all $\mathfrak{P}/\mathfrak{p}$. As $x_{\mathfrak{P}} \in J$ we get that

$$x \triangleq \sum_{\mathfrak{P}/\mathfrak{p}} x_{\mathfrak{P}} z_{\mathfrak{P}} \in J.$$

Clearly, $x\mathcal{O}'_{\mathfrak{p}} \subseteq J$. We turn to prove the converse.

Valuation rings and their integral closures are PID

Proof.

First note that $v_{\mathfrak{P}'}(x) = k_{\mathfrak{P}'}$ for all $\mathfrak{P}'/\mathfrak{p}$. Indeed,

$$v_{\mathfrak{P}'}(x_{\mathfrak{P}'} z_{\mathfrak{P}'}) = v_{\mathfrak{P}'}(x_{\mathfrak{P}'}) + v_{\mathfrak{P}'}(z_{\mathfrak{P}'}) = k_{\mathfrak{P}'} + 0 = k_{\mathfrak{P}'},$$

$$v_{\mathfrak{P}'}(x_{\mathfrak{P}} z_{\mathfrak{P}}) = v_{\mathfrak{P}'}(x_{\mathfrak{P}}) + v_{\mathfrak{P}'}(z_{\mathfrak{P}}) \geq v_{\mathfrak{P}'}(z_{\mathfrak{P}}) > k_{\mathfrak{P}'} \quad \forall \mathfrak{P}' \neq \mathfrak{P}.$$

Thus,

$$v_{\mathfrak{P}'}(x) = v_{\mathfrak{P}'}\left(\sum_{\mathfrak{P}/\mathfrak{p}} x_{\mathfrak{P}} z_{\mathfrak{P}}\right) = k_{\mathfrak{P}'}.$$

Valuation rings and their integral closures are PID

Proof.

Take $z \in J$. We wish to prove that $z \in x\mathcal{O}_{\mathfrak{p}'}$, namely, that

$$\frac{z}{x} \in \mathcal{O}_{\mathfrak{p}'}$$

To this end we will show that

$$\forall \mathfrak{p}/\mathfrak{p} \quad \frac{z}{x} \in \mathcal{O}_{\mathfrak{p}}.$$

But,

$$v_{\mathfrak{p}}\left(\frac{z}{x}\right) = v_{\mathfrak{p}}(z) - v_{\mathfrak{p}}(x) = v_{\mathfrak{p}}(z) - k_{\mathfrak{p}} \geq 0,$$

and the proof follows.

The same proof with $F = E$ shows that $\mathcal{O}_{\mathfrak{p}}$ is a PID. Indeed, in this case the integral closure $\mathcal{O}_{\mathfrak{p}'}$ of $\mathcal{O}_{\mathfrak{p}}$ in $F = E$ is simply $\mathcal{O}_{\mathfrak{p}}$. \square

Ideals of valuation rings

Definition 19

Let \mathfrak{p} be a prime divisor. An element $t \in \mathcal{O}_{\mathfrak{p}}$ is called a **local parameter** for \mathfrak{p} if $v_{\mathfrak{p}}(t) = 1$.

Note that $\mathfrak{m}_{\mathfrak{p}} = t\mathcal{O}_{\mathfrak{p}}$. Indeed,

$$\forall x \in \mathcal{O}_{\mathfrak{p}} \quad v_{\mathfrak{p}}(tx) = v_{\mathfrak{p}}(t) + v_{\mathfrak{p}}(x) > 0 \quad \implies \quad tx \in \mathfrak{m}_{\mathfrak{p}}.$$

On the other hand,

$$x \in \mathfrak{m}_{\mathfrak{p}} \quad \implies \quad v_{\mathfrak{p}}(x) \geq 1 \quad \implies \quad v_{\mathfrak{p}}(x/t) \geq 0 \quad \implies \quad x \in t\mathcal{O}_{\mathfrak{p}}.$$

The following claim says that the ideals of $\mathcal{O}_{\mathfrak{p}}$ form a chain.

Claim 20

Let $\mathcal{O}_{\mathfrak{p}}$ be a valuation ring with local parameter t . Let $0 \neq J \subseteq \mathcal{O}_{\mathfrak{p}}$ be an ideal. Then,

$$\exists k \in \mathbb{N} \quad J = t^k \mathcal{O}_{\mathfrak{p}}.$$

Ideals of valuation rings

Proof. (addendum)

Let

$$k = \min \{v_p(x) \mid x \in J\}$$

and let $y \in J$ s.t. $v_p(y) = k$. We will show that $J = t^k \mathcal{O}_p$.

$$x \in J \implies v_p(x) \geq k \implies v_p(x/t^k) \geq 0 \implies x \in t^k \mathcal{O}_p.$$

On the other hand

$$x \in t^k \mathcal{O}_p \implies \frac{xy}{t^k} \in J \implies x \in \frac{t^k}{y} J.$$

But $v_p(t^k/y) = 0$ and so $t^k/y \in \mathcal{O}_p$. Thus, $x \in J$.

Modules over valuation rings

Claim 21 (addendum)

Let E/K be a function field and \mathfrak{p} a prime divisor. Let $0 \neq J \subseteq E$ be an $\mathcal{O}_{\mathfrak{p}}$ -module. Assume that

$$\min \{v_{\mathfrak{p}}(x) \mid x \in J\} = k > -\infty.$$

Then, $J = t^m \mathcal{O}_{\mathfrak{p}}$ for some $m \in \mathbb{Z}$.

Proof.

Let t be a local parameter for \mathfrak{p} . Per our assumption,

$$t^{-k}J \subseteq \mathcal{O}_{\mathfrak{p}}.$$

Thus $t^{-k}J$ is an $\mathcal{O}_{\mathfrak{p}}$ -module that is contained in $\mathcal{O}_{\mathfrak{p}}$, namely, $t^{-k}J$ is an ideal of $\mathcal{O}_{\mathfrak{p}}$. By Claim 20,

$$\exists \ell \geq 0 \quad t^{-k}J = t^{\ell} \mathcal{O}_{\mathfrak{p}}$$

and so $J = t^{k+\ell} \mathcal{O}_{\mathfrak{p}}$.



Overview

- 1 Integrality
- 2 Valuation rings and integrality
- 3 The trace function
- 4 Dual bases
- 5 The structure of valuation rings
- 6 Local integral bases**
- 7 The complementary module

Valuation rings and their integral closures are PID

Definition 22

A basis z_1, \dots, z_n of F/E for which

$$\mathcal{O}'_{\mathfrak{p}} = \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i$$

is called an **integral basis** of $\mathcal{O}'_{\mathfrak{p}}$ over $\mathcal{O}_{\mathfrak{p}}$ (or a **local integral basis** of F/E for \mathfrak{p}).

Note that if z_1, \dots, z_n is a local integral basis for \mathfrak{p} then $z_1, \dots, z_n \in \mathcal{O}'_{\mathfrak{p}}$.

But $z_1, \dots, z_n \in \mathcal{O}'_{\mathfrak{p}}$ only implies

$$\mathcal{O}'_{\mathfrak{p}} \supseteq \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i.$$

Valuation rings and their integral closures are PID

For every \mathfrak{p} there is a local integral basis. As a first step for proving that, we prove the following.

Claim 23 (addendum)

Let $z_1, \dots, z_n \in \mathcal{O}'_{\mathfrak{p}}$ be a basis of F/E , namely,

$$\mathcal{O}'_{\mathfrak{p}} \supseteq \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i.$$

Then,

$$\mathcal{O}'_{\mathfrak{p}} \subseteq \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i^*.$$

Valuation rings and their integral closures are PID

Proof.

Given $z \in \mathcal{O}'_{\mathfrak{p}}$ (even in F) we can write

$$z = \sum_{i=1}^n a_i z_i^* \quad a_1, \dots, a_n \in E.$$

Now, $z, z_j \in \mathcal{O}'_{\mathfrak{p}}$ and so $zz_j \in \mathcal{O}'_{\mathfrak{p}}$. As $\mathcal{O}_{\mathfrak{p}}$ is integrally closed (Lemma 10), Claim 16 implies that

$$\mathrm{Tr}_{F/E}(zz_j) \in \mathcal{O}_{\mathfrak{p}}.$$

But

$$\mathrm{Tr}_{F/E}(zz_j) = \mathrm{Tr}_{F/E} \left(\sum_{i=1}^n a_i z_i^* z_j \right) = \sum_{i=1}^n a_i \mathrm{Tr}_{F/E}(z_i^* z_j) = a_j.$$

Thus, $a_1, \dots, a_n \in \mathcal{O}_{\mathfrak{p}}$, proving the claim. □

Valuation rings and their integral closures are PID

Theorem 24 (addendum)

For every \mathfrak{p} there exists a local integral basis for \mathfrak{p} , namely, a basis z_1, \dots, z_n of F/E s.t.

$$\mathcal{O}'_{\mathfrak{p}} = \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i.$$

Proof.

Let z_1, \dots, z_n be any basis for F/E . By repeatedly applying Lemma 9, we may assume that

$$z_1, \dots, z_n \in \mathcal{O}'_{\mathfrak{p}},$$

or equivalently,

$$\sum_{j=1}^n \mathcal{O}_{\mathfrak{p}} z_j \subseteq \mathcal{O}'_{\mathfrak{p}}.$$

Valuation rings and their integral closures are PID

Proof.

z_1, \dots, z_n is a basis for F/E s.t. $\sum_{j=1}^n \mathcal{O}_p z_j \subseteq \mathcal{O}'_p$.

The key step of the proof is proving, by induction on k , that $\exists u_1, \dots, u_n \in \mathcal{O}'_p$ s.t.

$$\mathcal{O}'_p \cap \sum_{i=1}^k \mathcal{O}_p z_i^* = \sum_{i=1}^k \mathcal{O}_p u_i.$$

By Claim 23, $\mathcal{O}'_p \subseteq \sum_{i=1}^n \mathcal{O}_p z_i^*$. Thus, if we will prove the above, by setting $k = n$, we can conclude that

$$\mathcal{O}'_p = \sum_{i=1}^n \mathcal{O}_p u_i,$$

which will almost prove the lemma (we still have to show that u_1, \dots, u_n is a basis of F/E).

Valuation rings and their integral closures are PID

Proof.

So, we wish to prove by induction on k , that $\exists u_1, \dots, u_n \in \mathcal{O}'_{\mathfrak{p}}$ s.t

$$\mathcal{O}'_{\mathfrak{p}} \cap \sum_{i=1}^k \mathcal{O}_{\mathfrak{p}} z_i^* = \sum_{i=1}^k \mathcal{O}_{\mathfrak{p}} u_i.$$

The base case $k = 0$ is trivial (empty sum is 0).

Say that $u_1, \dots, u_{k-1} \in \mathcal{O}'_{\mathfrak{p}}$ satisfy that

$$\mathcal{O}'_{\mathfrak{p}} \cap \sum_{i=1}^{k-1} \mathcal{O}_{\mathfrak{p}} z_i^* = \sum_{i=1}^{k-1} \mathcal{O}_{\mathfrak{p}} u_i.$$

Define

$$J = \{a_k \in \mathcal{O}_{\mathfrak{p}} \mid \exists a_1, \dots, a_{k-1} \in \mathcal{O}_{\mathfrak{p}} \text{ s.t. } a_1 z_1^* + \dots + a_{k-1} z_{k-1}^* + a_k z_k^* \in \mathcal{O}'_{\mathfrak{p}}\}.$$

Observe that J is an ideal of $\mathcal{O}_{\mathfrak{p}}$.

Valuation rings and their integral closures are PID

Proof.

$$J = \{a_k \in \mathcal{O}_p \mid \exists a_1, \dots, a_{k-1} \in \mathcal{O}_p \text{ s.t. } a_1 z_1^* + \dots + a_k z_k^* \in \mathcal{O}'_p\}.$$

By Theorem 18, \mathcal{O}_p is a PID and so

$$\exists a_k \in J \quad J = a_k \mathcal{O}_p.$$

Let $a_1, \dots, a_{k-1} \in \mathcal{O}_p$ s.t.

$$u_k = a_1 z_1^* + \dots + a_k z_k^* \in \mathcal{O}'_p.$$

By the choice of u_k and by the induction hypothesis, we get that

$$\mathcal{O}'_p \cap \sum_{i=1}^k \mathcal{O}_p z_i^* \supseteq \sum_{i=1}^k \mathcal{O}_p u_i.$$

Valuation rings and their integral closures are PID

Proof.

On the other direction, take

$$z \in \mathcal{O}'_{\mathfrak{p}} \cap \sum_{i=1}^k \mathcal{O}_{\mathfrak{p}} z_i^*.$$

Write

$$z = b_1 z_1^* + \cdots + b_k z_k^* \quad \text{with} \quad b_1, \dots, b_k \in \mathcal{O}_{\mathfrak{p}}.$$

Thus, $b_k \in J = a_k \mathcal{O}_{\mathfrak{p}}$ and so $\exists c \in \mathcal{O}_{\mathfrak{p}}$ s.t. $b_k = ca_k$. Recall that

$$u_k = a_1 z_1^* + \cdots + a_k z_k^* \in \mathcal{O}'_{\mathfrak{p}}.$$

As $z, u_k \in \mathcal{O}'_{\mathfrak{p}}$ we have that

$$\begin{aligned} z - cu_k &= (b_1 - ca_1)z_1^* + \cdots + (b_{k-1} - ca_{k-1})z_{k-1}^* \\ &\in \mathcal{O}'_{\mathfrak{p}} \cap \sum_{i=1}^{k-1} \mathcal{O}_{\mathfrak{p}} z_i^* = \sum_{i=1}^{k-1} \mathcal{O}_{\mathfrak{p}} u_i. \end{aligned}$$



Valuation rings and their integral closures are PID

Proof.

We conclude that

$$z \in \sum_{i=1}^k \mathcal{O}_{\mathfrak{p}} u_i$$

which proves the claim. Namely, $\exists u_1, \dots, u_n \in \mathcal{O}'_{\mathfrak{p}}$ s.t.

$$\mathcal{O}'_{\mathfrak{p}} \cap \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i^* = \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} u_i$$

and so

$$\mathcal{O}'_{\mathfrak{p}} = \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} u_i.$$

It remains to show that u_1, \dots, u_n is a basis of F/E .

Valuation rings and their integral closures are PID

Proof.

Take $z \in F$. As z is algebraic over E , Lemma 9 implies that

$$\exists b \in \mathcal{O}_p \quad \text{s.t.} \quad bz \in \mathcal{O}'_p.$$

That is, every element z of F is of the form $\frac{a}{b}$ for $a \in \mathcal{O}'_p$, $0 \neq b \in \mathcal{O}_p$.
Now,

$$a = \sum_{i=1}^n c_i u_i$$

for some $c_1, \dots, c_n \in \mathcal{O}_p$ and so

$$z = \frac{a}{b} = \sum_{i=1}^n \frac{c_i}{b} u_i.$$

Since $c_i, b \in \mathcal{O}_p$ we have that $\frac{c_i}{b} \in E$, and so $F = \sum_{i=1}^n E u_i$.

This shows that u_1, \dots, u_n spans F over E . The proof follows as $[E : F] = n$. □

Overview

- 1 Integrality
- 2 Valuation rings and integrality
- 3 The trace function
- 4 Dual bases
- 5 The structure of valuation rings
- 6 Local integral bases
- 7 The complementary module**

The complementary module

As usual, let F/L be an extension of E/K s.t. F/E is finite and separable.

Let \mathfrak{p} be a prime divisor of E/K with a corresponding valuation ring $\mathcal{O}_{\mathfrak{p}}$. Let $\mathcal{O}'_{\mathfrak{p}}$ be the integral closure of $\mathcal{O}_{\mathfrak{p}}$ in F .

Definition 25

The **complementary module** over $\mathcal{O}_{\mathfrak{p}}$ is defined to be

$$C_{\mathfrak{p}} = \{z \in F : \text{Tr}_{F/E}(z\mathcal{O}'_{\mathfrak{p}}) \subseteq \mathcal{O}_{\mathfrak{p}}\}.$$

Recall that every valuation ring is integrally closed (Lemma 10).

Claim 16 then implies that $\mathcal{O}'_{\mathfrak{p}} \subseteq C_{\mathfrak{p}}$.

Note that $C_{\mathfrak{p}}$ is closed under addition and that $\mathcal{O}'_{\mathfrak{p}}C_{\mathfrak{p}} \subseteq C_{\mathfrak{p}}$. Thus, $C_{\mathfrak{p}}$, as its name suggests, is an $\mathcal{O}'_{\mathfrak{p}}$ -module and, in particular, it is also an $\mathcal{O}_{\mathfrak{p}}$ module.

The complementary module

$$C_{\mathfrak{p}} = \{z \in F : \text{Tr}_{F/E}(z\mathcal{O}'_{\mathfrak{p}}) \subseteq \mathcal{O}_{\mathfrak{p}}\}.$$

Claim 26

Let z_1, \dots, z_n be a local integral basis of F/E for \mathfrak{p} , namely, z_1, \dots, z_n is a basis of F over E s.t.

$$\mathcal{O}'_{\mathfrak{p}} = \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i$$

(as we know exists by Theorem 24). Then,

$$C_{\mathfrak{p}} = \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i^*.$$

The complementary module

Proof.

Take $z \in C_p$. Recall that z_1^*, \dots, z_n^* is a basis of F over E . Write z as

$$z = \sum_{i=1}^n x_i z_i^* \quad \text{where} \quad x_1, \dots, x_n \in E.$$

To prove that $C_p \subseteq \sum_{i=1}^n \mathcal{O}_p z_i^*$ it suffices to prove that $x_1, \dots, x_n \in \mathcal{O}_p$.

Fix $j \in [n]$. As $z_j \in \mathcal{O}'_p$ we have that

$$z \in C_p \quad \implies \quad \text{Tr}_{F/E}(zz_j) \in \mathcal{O}_p.$$

But

$$\text{Tr}_{F/E}(zz_j) = \text{Tr}_{F/E} \left(\sum_{i=1}^n x_i z_i^* z_j \right) = \sum_{i=1}^n x_i \text{Tr}_{F/E}(z_i^* z_j) = x_j,$$

and so $z \in \sum_{i=1}^n \mathcal{O}_p z_i^*$.

The complementary module

Proof.

We turn to prove that $C_p \supseteq \sum_{i=1}^n \mathcal{O}_p z_i^*$. To this end we need to take $z \in \sum_{i=1}^n \mathcal{O}_p z_i^*$, $z' \in \mathcal{O}'_p$ and show that $\text{Tr}_{F/E}(zz') \in \mathcal{O}_p$.

Write

$$z = \sum_{i=1}^n x_i z_i^* \quad z' = \sum_{j=1}^n y_j z_j,$$

where $x_i, y_j \in \mathcal{O}_p$. Now,

$$\begin{aligned} \text{Tr}_{F/E}(zz') &= \text{Tr}_{F/E} \left(\sum_{i,j} x_i y_j z_i^* z_j \right) = \sum_{i,j} x_i y_j \text{Tr}_{F/E}(z_i^* z_j) \\ &= \sum_i x_i y_i \in \mathcal{O}_p, \end{aligned}$$

and the proof follows.

The complementary module

Claim 27

For every \mathfrak{p} there exists $t_{\mathfrak{p}} \in F$ s.t. $C_{\mathfrak{p}} = t_{\mathfrak{p}} \mathcal{O}'_{\mathfrak{p}}$.

Proof.

Theorem 24 guarantees the existence of a basis z_1, \dots, z_n of F/E s.t.

$$\mathcal{O}'_{\mathfrak{p}} = \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i.$$

Claim 26 then implies that

$$C_{\mathfrak{p}} = \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i^*.$$

By the WAT we can find $x \in E$ s.t.

$$v_{\mathfrak{p}}(x) \geq -v_{\mathfrak{p}}(z_i^*) \quad \forall \mathfrak{p}/\mathfrak{p}, \quad i \in [n].$$

The complementary module

Proof.

$$v_p(x) \geq -v_{\mathfrak{P}/p}(z_i^*) \quad \forall \mathfrak{P}/p, \quad i \in [n].$$

Thus, for all \mathfrak{P}/p and $i \in [n]$,

$$v_{\mathfrak{P}}(xz_i^*) = e(\mathfrak{P}/p)v_p(x) + v_{\mathfrak{P}}(z_i^*) \geq 0.$$

Therefore, for every $i \in [n]$,

$$xz_i^* \in \bigcap_{\mathfrak{P}/p} \mathcal{O}_{\mathfrak{P}} = \mathcal{O}'_p.$$

Recall that

$$C_p = \sum_{i=1}^n \mathcal{O}_p z_i^*.$$

Thus,

$$xC_p = \sum_{i=1}^n \mathcal{O}_p xz_i^* \subseteq \mathcal{O}'_p.$$



The complementary module

Proof.

So far we proved that

$$\exists x \in E \quad \text{s.t.} \quad xC_p \subseteq \mathcal{O}'_p.$$

Recall that C_p is an \mathcal{O}'_p -module. It is easy to see that xC_p is also an \mathcal{O}'_p -module. But $xC_p \subseteq \mathcal{O}'_p$ and so xC_p is an ideal of \mathcal{O}'_p .

Since \mathcal{O}'_p is a PID (Theorem 18), we have that

$$xC_p = y\mathcal{O}'_p$$

for some $y \in \mathcal{O}'_p$ and so

$$C_p = \frac{y}{x}\mathcal{O}'_p,$$

which concludes the proof. □

The complementary module

Claim 28

Let $t \in F$ be s.t. $C_p = t\mathcal{O}'_p$. Then,

$$\forall \mathfrak{P}/\mathfrak{p} \quad v_{\mathfrak{P}}(t) \leq 0.$$

Proof.

Recall that $\mathcal{O}'_p \subseteq C_p$ and so $\mathcal{O}'_p \subseteq t\mathcal{O}'_p$, namely,

$$\frac{1}{t}\mathcal{O}'_p \subseteq \mathcal{O}'_p.$$

Since $1 \in \mathcal{O}'_p$, we have that

$$\frac{1}{t} \in \mathcal{O}'_p = \bigcap_{\mathfrak{P}/\mathfrak{p}} \mathcal{O}_{\mathfrak{P}}.$$

Thus, $\forall \mathfrak{P}/\mathfrak{p}$ we have that $v_{\mathfrak{P}}(\frac{1}{t}) \geq 0$ and so $v_{\mathfrak{P}}(t) \leq 0$, as required. \square

The complementary module

Claim 29

Let $t \in F$ be s.t. $C_p = tO'_p$. Then, for every $t' \in F$,

$$C_p = t'O'_p \iff \forall \mathfrak{P}/\mathfrak{p} \quad v_{\mathfrak{P}}(t') = v_{\mathfrak{P}}(t).$$

Proof.

In general, we have that

$$\begin{aligned} \forall \mathfrak{P}/\mathfrak{p} \quad v_{\mathfrak{P}}\left(\frac{t}{t'}\right) \geq 0 &\iff \frac{t}{t'} \in \bigcap_{\mathfrak{P}/\mathfrak{p}} \mathcal{O}_{\mathfrak{P}} = \mathcal{O}'_p \\ &\iff tO'_p \subseteq t'O'_p. \end{aligned}$$

The complementary module

Proof.

So far, we showed that

$$\forall \mathfrak{P}/\mathfrak{p} \quad v_{\mathfrak{P}}\left(\frac{t}{t'}\right) \geq 0 \iff t\mathcal{O}'_{\mathfrak{p}} \subseteq t'\mathcal{O}'_{\mathfrak{p}}.$$

So,

$$\begin{aligned} \forall \mathfrak{P}/\mathfrak{p} \quad v_{\mathfrak{P}}(t') = v_{\mathfrak{P}}(t) &\iff \forall \mathfrak{P}/\mathfrak{p} \quad v_{\mathfrak{P}}\left(\frac{t}{t'}\right) = 0 \\ &\iff t'\mathcal{O}'_{\mathfrak{p}} = t\mathcal{O}'_{\mathfrak{p}} = \mathcal{C}_{\mathfrak{p}}, \end{aligned}$$

which concludes the proof. □

The complementary module

Claim 30

For all but finitely many \mathfrak{p} , $C_{\mathfrak{p}} = \mathcal{O}'_{\mathfrak{p}}$.

Proof. (addendum)

Let z_1, \dots, z_n be some basis of F/E with dual basis z_1^*, \dots, z_n^* . Denote by $p_i(x) \in E[x]$ the minimal polynomial of z_i over E . Similarly define $p_i^*(x) \in E[x]$ to be the minimal polynomial of z_i^* over E .

Fix $i \in [n]$. Each coefficient of $p_i(x)$ is in E and so it has a finite number of poles. Let S_i be the union of poles taken over all coefficients of $p_i(x)$. Note that S_i is also finite.

Define S_i^* similarly and let

$$S = \bigcup_{i=1}^n (S_i \cup S_i^*).$$

The complementary module

Proof.

Take any prime divisor $\mathfrak{p} \notin S$. Then, each of z_i, z_i^* are integral over $\mathcal{O}_{\mathfrak{p}}$, namely, in $\mathcal{O}'_{\mathfrak{p}}$. Thus,

$$\sum_i \mathcal{O}_{\mathfrak{p}} z_i \subseteq \mathcal{O}'_{\mathfrak{p}}, \quad \sum_i \mathcal{O}_{\mathfrak{p}} z_i^* \subseteq \mathcal{O}'_{\mathfrak{p}}.$$

By Claim 23 and since the dual of the dual basis is the original basis,

$$\mathcal{O}'_{\mathfrak{p}} \subseteq \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i^*.$$

$$\mathcal{O}'_{\mathfrak{p}} \subseteq \sum_{i=1}^n \mathcal{O}_{\mathfrak{p}} z_i.$$

The complementary module

Proof.

Overall, we have that

$$\sum_i \mathcal{O}_p z_i \subseteq \mathcal{O}'_p \subseteq \sum_{i=1}^n \mathcal{O}_p z_i^* \subseteq \mathcal{O}'_p \subseteq \sum_i \mathcal{O}_p z_i$$

and so all inclusions are equalities.

By Claim 26,

$$C_p = \sum_{i=1}^n \mathcal{O}_p z_i^*.$$

However, by the above equation,

$$\mathcal{O}'_p = \sum_{i=1}^n \mathcal{O}_p z_i^*,$$

and so $C_p = \mathcal{O}'_p$, as required. □